

## Terms of Reference

### SOCIAL RISK MANAGEMENT CAPACITY STRENGTHENING

#### [KENYA]

**Project Name:** The Africa Regional Scholarship and Innovation Fund for Applied Sciences, Engineering and Technology Project in Sub-Saharan Africa (RSIF) P165581

**Reference No:** KE-ICIPE-159375-CS-INDV

**Grant No.:** D347-3A

**Expected duration:** 40 days

**Expected start date:** March 20, 2020

**Application deadline:** February 28th, 2020

#### 1. Background

The Regional Scholarship and Innovation Fund (RSIF) is a flagship initiative of the Partnerships for skills in Applied Sciences, Engineering and Technology (PASET)<sup>1</sup>. The World Bank is supporting the Africa Regional Scholarship and Innovation Fund for Applied Sciences, Engineering and Technology Project (P165581) in sub-Saharan Africa to address fundamental gaps in skills and knowledge necessary for increasing the use of science, technology and innovation for sustained economic growth in sub-Saharan Africa (SSA). The RSIF aims to support doctoral training and post-doctoral research and innovation in 10 priority sectors for growth and development across SSA. The RSIF Project was approved by the World Bank in May 2018 and will be implemented over a six-year period ending 10 June 2024. The Project financing includes an IDA grant totalling approximately **US\$15 million** and support from the **Government of Korea (USD 9 million)**. Individual country governments may join the PASET initiative by contributing US\$2 million each, which is added to the RSIF General Fund. The RSIF focus areas are initially in the following Africa countries; Côte d'Ivoire, Ethiopia, Kenya, Rwanda, and Senegal and other countries are in the process of joining.

The International Centre of Insect Physiology and Ecology was competitively selected to serve as the Regional Coordinating Unit (RCU) for the Project. The RSIF RCU is hosted by icipe at its headquarters in Kasarani in Nairobi Kenya and has recruited a team of experts to manage the Project.

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<sup>1</sup> The Partnership for skills in Applied Sciences, Engineering and Technology (PASET) was launched in 2013 by the Governments of Senegal, Ethiopia and Rwanda with facilitation by the World Bank. It aims to address systemic gaps in skills and knowledge in Sub-Saharan Africa's priority ASET fields, and to build the capacity of African education and training institutions to train high quality technicians, engineers and scientists to meet the demands of the economy. Since 2013, more than 25 African countries, as well as representatives of Brazil, China, India, Japan and Korea have participated in PASET's various activities.

## 2. Project Description

The RSIF Project Development Objective is to ‘*strengthen the institutional capacity for quality and sustainable doctoral training, research and innovation in transformative technologies in SSA*’. The objectives and results will be achieved through two project components; i) Capacity for development for the operation and management of the scholarship, research and innovation fund; and ii) Scholarships and research grants for applied sciences, engineering and technology (ASET). RSIF is implemented through competitively selected host universities in SSA countries, who also host the doctoral students supported by the RSIF and where research and innovation in the ASET fields will be undertaken. Innovative research is expected to be in partnership with key stakeholders including industry and will be led by host university faculty and postdoctoral researchers. The host universities will develop strong networks with international partner institutions who will support research of PhD students in a sandwich arrangement (RSIF Scholars will spend two years in an international partner institution as visiting researchers/ scholars). At present, 11 host universities have been selected (Table 1- See also [www.rsifpaset.org](http://www.rsifpaset.org) for more information).

*Table 1: PASET RSIF African Host Universities (AHUs) and their thematic areas*

No.	UNIVERSITY	COUNTRY	THEMATIC AREA
1	Nelson Mandela African Institution of Science and Technology	Tanzania	Minerals, Mining and Materials Engineering
2	Kenyatta University	Kenya	
3	African University of Science and Technology*	Nigeria	
4	University of Ghana	Ghana	Food Security and Agribusiness
5	Sokoine University of Agriculture*	Tanzania	
6	University of Port Harcourt	Nigeria	Energy including renewables
7	University of Nairobi	Kenya	
8	University of Rwanda	Rwanda	ICTs including big data and artificial intelligence
9	University of Gaston Berger*	Senegal	
10	Bayero University	Nigeria	Climate Change
11	Université Félix Houphouët-Boigny*	Côte d'Ivoire	

*\*Universities selected in the first selection process undertaken by AAU in 2017. They are also World Banks Centre of Excellence for previous projects. Other universities were competitively selected in early 2019 facilitated by icipe.*

## 3. Project description

The Project’s two components have a series of sub-components namely;

### **Component 1: Capacity development for the operation and management of the Scholarship, Research and Innovation Fund (IDA Regional Grant US\$ 15 million):**

- **Subcomponent 1.1:** Capacity building for managing and growing the RSIF General Fund and setting up a RSIF Permanent Fund (US\$2.8 million)

- **Subcomponent 1.2:** Capacity development for the operation and management of doctoral training scholarships in selected African universities and research grants in ASET fields (US\$3.7 million).
- **Subcomponent 1.3:** Capacity development for improving quality of PhD programs and research in ASET fields (US\$6.1 million).
- **Subcomponent 1.4:** Capacity development for the operation and management of innovation grants (US\$2.4 million).

**Component 2: Scholarships and Research Grants for ASET (US\$9 million from Government of Korea).**

- Subcomponent 2.1: Doctoral training in ASET fields in selected SSA host Universities and international partner universities (US\$7.25 million):
- Subcomponent 2.2: Research grants (US\$1.75 million):

**4. Key Performance indicators**

The Project Development Objectives (PDO) will be measured by the following key indicative PDO level indicators:

- a) PDO Indicator 1: Growth of Regional Scholarship and Innovation Fund
- b) PDO Indicator 2: Growth of Regional Scholarship and Innovation Fund (General Fund)
- c) PDO Indicator 3: Growth of Regional Scholarship and Innovation Fund (Permanent Fund)
- d) PDO Indicator 4: Number of PhD scholars that have enrolled in RSIF programs
- e) PDO Indicator 5: Number of PhD scholars that have enrolled in RSIF PhD program (female)
- f) PDO Indicator 6: Number of implemented networks between host universities and international partners for PhD training and research collaboration
- g) PDO Indicator 7: Number of students/staff that take cross-cutting courses, entrepreneurship and/or research commercialization courses supported by the project.
- h) PDO Indicator 8: Number of research papers submitted by staff members or scholars supported by the project for publication to internationally indexed journals
- i) PDO Indicator 9: Number of research papers submitted by staff members or scholars supported by the project for publication to internationally indexed journals (Female authors or co-authors)
- j) PDO Indicator 10: Growth of Regional Scholarship and Innovation Fund (Permanent

**5. Objectives of the Assignment**

Although the RSIF Project does not trigger any safeguards policies, the project will pay close attention to early identification, prevention and mitigation of social risks. The Project will also expand opportunities for social inclusion and enhancement of project related benefits. Implementation of the Project is anticipated to have several positive social impacts such as increased opportunities for participation of women and other marginalised groups, geographical

inclusion, alignment of research priorities to the needs of the poor as will be appropriate and applicable.

*icipe* therefore intends to enhance their institutional capacity for social risk management and inclusion including enhancing overall safeguards capacity. A competent Individual Consultant on a retainer basis, to provide technical assistance on social risk management and safeguards is required to achieve this goal. The overall purpose of the assignment is to support the Regional Project Coordination Unit at *icipe*, the country level Project Coordination teams and host universities in identifying, understanding and effectively responding to project related social risks in accordance with the national policies, legal, regulatory and institutional framework and where relevant, the applicable World Bank Policies, Guidelines and Standards.

## **6. Specific tasks for the Consultant:**

### **The consultant will be expected to:**

- a) Review key documents (Project Appraisal Document PAD, Project Operational Manual POM, Aide Memoires AMs etc) relevant to the RSIF Project and the assignment
- b) Participate in an inception meeting at *icipe*.
- c) Prepare a discussion paper based on a social review of the RSIF.
- d) Assess institutional policy frameworks of AHUs and *icipe* recommend appropriate grievance redress mechanisms for the RSIF project.
- e) Support the development of a functional GRM for the RSIF Project.
- f) Support the development of a RSIF prevention and response to Sexual Harassment protocol.
- g) Review/prepare ToRs for relevant focal persons at *icipe* and AHUs
- h) Review and complete the draft RSIF Grievance Redress Mechanism Manual
- i) Provide orientation/mentoring to all project safeguards focal persons.
- j) Develop a costed social risk management plan with key progress monitoring indicators and relevant information note to *icipe* management and the World Bank.
- k) Develop a tailored training plan for key stakeholders including for sexual exploitation and abuse at the AHUs.
- l) Train the identified RSIF staff/Grievance Redress Officer, Africa Host Universities, University (Faculty based) GR Focal Points (GRFPs), Grievance Redress Committee(s) with related tools.
- m) Support *icipe* in full preparation for World Bank supervision missions.
- n) Support monitoring and reporting, and where necessary, the management of grievances at partner institutions

## **7. Key deliverables**

The consultants shall deliver the following:

- An inception report with a costed workplan.
- An approved and functional Grievance Redress Mechanism for RSIF
- An approved Grievance Redress Mechanism Manual for RSIF which includes: ToRs for focal persons, Reporting channels, referral pathways and other tools including checklists flow chart, sexual harassment protocol.
- A costed RSIF social risk analysis and management framework

- Approved Final Report

## 8. Qualifications and Experience

Individual applicants with the following experience are welcome to apply:

- The Safeguards/Social Risk Management Specialist with an Advanced Degree in either Psychology, Law, Social Work, Social Sciences and other related field
- She/he must be familiar with World Bank safeguards requirements, social risk management guidance procedures and participated in WB funded projects particularly in supervision, management and monitoring.
- At least 5 years of practical experience in implementing, social safeguards and gender interventions for education and other similar projects or programs funded by World Bank and/or other donor funded projects
- Demonstrated understanding of World Bank Environmental and Social safeguard policies and/or standards, IFC Performance Standards, and working knowledge of various country policies and procedures (relevant Laws, Regulations and Guidelines).
- Fluency in English. Working knowledge of French will be an added advantage.

## 9. Application Guidelines

**Interested applicants should submit the following documents to [rsiftenders@icipe.org](mailto:rsiftenders@icipe.org) or *icipe* Tender Box, located at *icipe* Duduville campus, Kasarani, Nairobi (Carroll Wilson Building, Ground Floor) by Friday 28<sup>th</sup>, 2020 at 11.00 am local time.**

### A. Technical proposal

- Detailed cover letter expressing interest in this assignment, including full contact details (name, tel. e-mail), and physical address.
- Consultant's detailed CV.
- Demonstrate capacity and at least 5 years of practical experience in implementing, social safeguards and gender interventions for education and other similar projects or programs funded by World Bank and/or other donor funded projects
- Attach at least three (3) relevant assignments undertaken in the last five (5) years.
- Provide contacts of at least three references.
- Clear understanding of the assignment/interpretation of the TORs and methodology to be used during the assignment.
- Work plan clearly indicating the maximum time/duration required for the assignment.
- Should be in English language.

### B. Financial proposal

- Provide a competitive and detailed cost breakdown (fee quote in US\$) to perform the assignment, including all the chargeable taxes.
- Terms of payment

## 10. Criteria for selection of the best offer

The Consultant will be selected in accordance with the criteria laid down in “Selection Based on Consultants Qualification” (CQS) method set out in the World Bank’s Procurement Regulations for IPF Borrowers July 2016, Revised November 2017 and August 2018 which can be found at the following website: [www.worldbank.org](http://www.worldbank.org)